54 NJR 9(2) September 19, 2022 Filed August 9, 2022

## **ADOPTIONS SECTION**

## HEALTH

PUBLIC HEALTH SERVICES BRANCH

**DIVISION OF FAMILY HEALTH SERVICES** 

Notice of Readoption

Early Intervention System

Readoption with Technical Changes: N.J.A.C. 8:17

Authorized By: Judith M. Persichilli, R.N., B.S.N., M.A., Commissioner of the

Department of Health.

Authority: N.J.S.A. 26:1A-36.6 through 36.8, particularly 26:1A-36.8; the Department of

Children and Families Act, N.J.S.A. 9:3A-1, et seq.; and 20 U.S.C. § 1435.

Effective Dates: August 5, 2022, Readoption;

September 19, 2022, Technical Changes.

New Expiration Date: August 5, 2029.

**Take notice** that pursuant to N.J.S.A. 52:14B-5.1, N.J.A.C. 8:17 was scheduled to expire on September 6, 2022. N.J.A.C. 8:17 establishes standards applicable to the New Jersey Early Intervention System (NJEIS). N.J.A.C. 8:17 implements the Individuals with Disabilities Education Act, Pub. L. 101-476 at § 901 (approved October 30, 1990) (IDEA 1990), as reauthorized and amended by the Individuals with Disabilities Education Act Amendments of 1997, Pub. L. 105-17 (approved June 4, 1997) (IDEA 1997), and the Individuals with Disabilities Education Improvement Act of 2004, Pub. L. 108-446 (approved December 3, 2004) (IDEA 2004) (The Department of Health (Department) collectively refers to these laws as "the IDEA"). In 1999, the United States

Department of Education promulgated regulations at 34 CFR Part 303 to implement Part C of the IDEA 1997. 64 Fed. Reg. 12674 (March 12, 1999) (IDEA 1997 regulations of 1999). In 2011, the United States Department of Education promulgated further regulations at 34 CFR Part 303 to implement Part C of the IDEA 2004. 76 Fed. Reg. 60140 (September 28, 2011) (effective October 28, 2011) (2011 Part C regulations). However, existing N.J.A.C. 8:17 incorporates by reference the IDEA and its implementing regulations "as amended and supplemented," so the IDEA 2004 and the 2011 Part C regulations were, and are, part of N.J.A.C. 8:17 and applicable to the NJEIS as of their effective date. See existing definition of "Act" at N.J.A.C. 8:17-1.3.

Pursuant to N.J.S.A. 52:14B-5.1 and N.J.A.C. 1:30-6.4(h), the Department is readopting the chapter with the following technical changes:

Subchapter 1 contains general provisions. At N.J.A.C. 8:17-1.3, Definitions, the Department is updating the addresses and contact information for the following entities: "Academy of Certification of Vision Rehabilitation and Education Professionals," "Clinical Nutrition Certification Board," and "Commission on Dietetic Registration."

Subchapter 2 addresses "child find" activities. At N.J.A.C. 8:17-2.1, Identification of potentially eligible children, at paragraph (c)2, the Department is correcting the reference to the Maternal and Child Health Program to refer instead to the Maternal and Child Health Services Block Grant pursuant to Title V of the Social Security Act and changing the cross-reference from 42 U.S.C. §§ 709 to 42 U.S.C. §§ 701 et seq.; at paragraph (c)3, the Department is correcting the reference to the Early and Periodic Screening, Diagnosis and Treatment (EPSDT) Program from being described as part of Title XIX to indicate that it is part of Title V of the Social Security Act (Medicaid), and

from 42 U.S.C. §§ 701 et seq., to 42 U.S.C. § 705(a)(5)(F); at subparagraph (c)3i, the Department is correcting the reference to 42 U.S.C. § 5106(g) to refer to 42 U.S.C. § 5106g; and at paragraph (c)7, the Department is correcting the statutory citation for the Child Abuse Prevention and Treatment Act (CAPTA), from 42 U.S.C. §§ 1501 et seq., to 42 U.S.C. §§ 5101 et seq.

At N.J.A.C. 8:17-2.1(f), the Department is replacing the forms the subsection incorporates by reference at N.J.A.C. 8:17 Appendices A, B, and C, with updated versions of the forms. Chapter Appendix A contains the family cost participation income verification form. The revised version of the form at N.J.A.C. 8:17 Appendix A reflects an updated layout and deletes certain demographic fields that the Department no longer needs to collect. In addition, the form contains additional descriptors of the rights of participating families. Chapter Appendix B contains the family cost participation payment option form, which has an updated layout and provides additional language that informs families of their right to informed consent regarding the use of Medicaid benefits. Chapter Appendix C contains the family cost participation application for income adjustment form. The form is updated to reflect the correct name and updated logo of the Department.

Subchapter 3 establishes standards for service coordination, regional provider agencies, provider agencies, and practitioners. Subchapter 4 establishes standards for prior notice and parent consent. Subchapter 5 establishes standards for surrogate parents. Subchapter 6 establishes standards for evaluation and assessment. Subchapter 7 establishes eligibility criteria and procedures. Subchapter 8 establishes standards for individualized family service plans. N.J.A.C. 8:17-8.3(h) incorporates by

reference Chapter Appendix D, which contains the individualized family service plan form. The Department is updating the form at N.J.A.C. 8:17 Appendix D to update the layout, correct the program mailing address, and delete certain demographic fields the Department no longer needs to collect. In addition, the form contains an additional reference to the rights of participating families.

Subchapter 9 addresses financial matters. At N.J.A.C. 8:17-9.5, Payer of last resort, subsection (e), the Department is correcting the reference to Title V of the Social Security Act, from 42 U.S.C. §§ 701 through 710 to 42 U.S.C. §§ 701 through 713 to reflect the expanded sections related to maternal and child health.

Subchapter 10 establishes standards for suspension and termination of services. Subchapter 11 establishes standards for transition from the early intervention system. Subchapter 12 establishes standards for the confidentiality of information.

Subchapter 13 establishes standards for procedural safeguards. At N.J.A.C. 8:17-13.2, Dispute resolution options, subsection (b), the Department is correcting the cross-reference from 34 CFR 303.419 to 34 CFR 303.431, and at subsection (c), the Department is correcting the cross-reference from 34 CFR 303.420 through 303.425 to 303.435 through 303.438. N.J.A.C. 8:17-13.2(e) incorporates by reference Chapter 17 Appendix F, which contains the formal dispute resolution request form. The Department is updating the form to reflect the corrected telefacsimile number for the procedural safeguards office of the Department and to reflect the correct name of the Department. At N.J.A.C. 8:17-13.9, Procedural Safeguards Office responsibilities in impartial due process hearing procedure, paragraph (a)6, the Department is correcting the cross-reference from N.J.A.C. 1:1-8.1 to N.J.A.C. 1:1-8.2.

Subchapter 14 establishes standards for a comprehensive system of personnel development. Subchapter 15 establishes personnel standards. At N.J.A.C. 8:17-15.1, Standards to serve as a practitioner within the NJEIS, the Department is correcting the cross-reference at paragraph (e)1 from N.J.S.A. 45:3B-1 through 24 to N.J.S.A. 45:3B-1 through 25, and the cross-reference at paragraph (e)14 from N.J.S.A. 45:14B-1 through 46 to N.J.S.A. 45:24B-1 through 49. At N.J.A.C. 8:17-15.4, Paraprofessionals and assistants, the Department is correcting the cross-reference at paragraph (a)2 from N.J.S.A. 45:9-37 to N.J.S.A. 45:9-37.62, and the cross-reference at paragraph (a)3 to N.J.S.A. 45:1-14 et seq., to N.J.S.A. 45:9-37.11 through 45:37.34f.

Subchapter 16 addresses the central directory and public awareness activities. At N.J.A.C. 8:17-16.1, Central directory, and 16.2, Public awareness, subsections (a) and (d), respectively, the Department is updating a website address.

Subchapter 17 establishes standards for supervision and monitoring of programs. Subchapter 18 establishes standards for data reporting and collection.

While N.J.A.C. 8:17 is generally consistent with the IDEA 2004 and the 2011 Part C regulations governing the states' implementation of early intervention services, the Department is developing rulemaking to revise and reorganize existing N.J.A.C. 8:17 to ensure greater consistency therewith and anticipates filing this rulemaking with the Office of Administrative Law for processing in the ordinary course. However, this rulemaking will not be effective prior to the expiration of existing N.J.A.C. 8:17. The Commissioner of the Department has reviewed N.J.A.C. 8:17 and has determined that, subject to the technical changes described above, and pending the finalization of the rulemaking in development, the existing chapter remains necessary, proper,

reasonable, efficient, understandable, and responsive to the purposes for which it was originally promulgated and should be readopted.

Therefore, pursuant to N.J.S.A. 52:14B-5.1.c(1) and N.J.A.C. 1:30-6.4(h), and with the technical corrections described above, N.J.A.C. 8:17 is readopted and shall continue in effect for seven years.

**Full text** of the adopted technical changes follows (additions indicated in **boldface** thus; deletions indicated in brackets [thus]):

SUBCHAPTER 1. GENERAL PROVISIONS

8:17-1.3 Definitions

The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:

"Academy for Certification of Vision Rehabilitation and Education Professionals" means the entity by that name for which the contact information is Academy for Certification of Vision Rehabilitation and Education Professionals, [4732 N. Oracle Road, Suite 217] **4380 Suite #200**, Tucson, AZ [85705] **85718**, (520) 887-6816, telefacsimile (520) 887-6826, [www.acvrep.org] www.acvrep.org.

. . .

"Clinical Nutrition Certification Board" means the entity by that name for which the contact information is Clinical Nutrition Certification Board, [15280 Addison Road, Suite 130, Addison] **400 Chisholm Place, Suite 303, Plano**, TX [75001.] **75075,** (972) 250-2829, telefacsimile (972) 250-0233, <u>www.cncb.org</u>.

"Commission on Dietetic Registration" means the entity by that name for which the contact information is Commission on Dietetic Registration, 120 South Riverside

Plaza, Suite [2000] **2190**, Chicago, IL 60606-6995, (312) 899-0040 extension 5500 or toll-free (800) 877-1600 extension 5500, telefacsimile (312) 899-4772, <u>www.cdrnet.org</u>.

## SUBCHAPTER 2. CHILD FIND

8:17-2.1 Identification of potentially eligible children

(a)-(b) (No change.)

(c) The Department, in consultation with the SICC, shall coordinate the Child Find System under Part C conducted by regional provider agencies and State agencies responsible for administering the various education, health, and social services programs and initiatives relevant to Part C, including, but not limited to, those authorized [under] **pursuant to**:

1. (No change.)

2. The Maternal and Child Health [Program under] **Services Block Grant pursuant to** Title V of the Social Security Act, 42 U.S.C. [§§709] **§§ 701** et seq.;

3. The Early and Periodic Screening, Diagnosis and Treatment (EPSDT) Program [under] **pursuant to** Title [XIX] **V** of the Social Security Act (Medicaid), 42 U.S.C. [§§701 et seq.] **§ 705(a)(5)(F)**;

4. The Developmental Disabilities Assistance and Bill of Rights Act, 42
U.S.C. [§§15001] §§ 15001 et seq.;

5. The Head Start Act, 42 U.S.C. [§§9801] §§ 9801 et seq.;

6. The Supplemental Security Income Program [under] **pursuant to** Title XVI of the Social Security Act, 42 U.S.C. [§§1381] **§§ 1381** et seq.; and

7. The Child Abuse Prevention and Treatment Act (CAPTA), 42 U.S.C. [§§1501] §§ 5101 et seq.

(d) (No change.)

8:17-2.2 Referral process

(a)-(b) (No change.)

(c) Through the Child Find System, the Department shall:

1.-2. (No change.)

- 3. Coordinate the referral for early intervention services, pursuant to Part
- C, of each child who is:

i. The subject of a substantiated case of child abuse or neglect as defined in CAPTA pursuant to 42 U.S.C. [§5106(g)] **§ 5106g**, or who has a case in litigation pursuant to N.J.S.A. 9:6-8.8 et seq.;

ii.-iii. (No change.)

4. (No change.)

(d)-(g) (No change.)

# SUBCHAPTER 9. FINANCIAL MATTERS

8:17-9.5 Payer of last resort

(a)-(d) (No change.)

(e) The availability of NJEIS funds shall not be construed to permit the State to reduce medical or other assistance available, or to alter eligibility pursuant to Title V of the Social Security Act, 42 U.S.C. [§§701-710] **§§ 7001-713** (relating to maternal and child

health), or Title XIX of the Social Security Act, 42 U.S.C. [§§1396-1396v] **§§ 1396-1396v** (relating to Medicaid for children with disabilities) within the State.

## SUBCHAPTER 13. PROCEDURAL SAFEGUARDS

8:17-13.2 Dispute resolution options

(a) (No change.)

(b) The Procedural Safeguards Office shall administer a Statewide mediation system available to ensure voluntary access to a non-adversarial process **that is consistent** 

**with 34 CFR 303.431** for the resolution of individual disputes regarding the Early Intervention System to address identification, evaluation and assessment, eligibility determination, placement, and the provision of appropriate early intervention services[, that is consistent with 34 CFR §303.419].

(c) Through referral of cases to the Office of Administrative Law, the Procedural Safeguards Office shall ensure voluntary access to a Statewide impartial due process hearing system **that is consistent with 34 CFR 303.435 through 303.438** for the resolution of individual disputes regarding the provision of early intervention services, including identification, evaluation and assessment, eligibility determination, placement [or], **and** the provision of appropriate early intervention services[, that is consistent with 34 CFR §§303.420 through 303.425].

1. (No change.)

(d) The Procedural Safeguards Office shall administer complaints **consistent with 34 CFR 303.432 through 303.434,** as to deficiencies in the fulfillment, or violations, of the requirements of Part C of IDEA or other pertinent State or Federal early intervention

laws, by public or private agencies that are, or have been, receiving financial funding or payment [therefore] **therefor**, or by other public agencies involved in the State's early intervention system[, that is consistent with 34 CFR §§303.510 through 303.512]. (e) (No change.)

8:17-13.9 Procedural Safeguards Office responsibilities in impartial due process hearing procedure

(a) The Procedural Safeguards Office shall:

1.- 5. (No change.)

6. Within one business day of its receipt of the request, transmit the case in accordance with N.J.A.C. 1:1-[8.1]**8.2** to the Office of Administrative Law pursuant to (b) below;

7.-9. (No change.)

(b)-(d) (No change.)

8:17-13.12 Conduct of hearing

(a) (No change.)

(b) Impartial due process hearings shall be conducted pursuant to the Administrative Procedure Act, N.J.S.A. 52:14B-1 et seq., and **52:**14F-1 et seq., the Uniform Administrative Procedure Rules, N.J.A.C. 1:1, and the Special Rules for Special Education Program, N.J.A.C. 1:6A, except that the following rules shall not apply: N.J.A.C. 1:6A-1.1(b), 4.1, 5.1(a), 12.1, 13.1, 14.2, 14.4, 18.1, and 18.2(b); provided that the term "Procedural Safeguards Office" shall be substituted for "Special Education Program of the Department of Education" and "Department of Education," and "N.J.A.C.

8:17" shall replace references to "N.J.A.C. 6A:14" in those provisions [of] at N.J.A.C.

1:6A, which are incorporated by reference.

(c)-(d) (No change.)

# SUBCHAPTER 15. PERSONNEL STANDARDS

8:17-15.1 Standards to serve as a practitioner within the NJEIS

(a)-(d) (No change.)

- (e) Early intervention services shall be provided by qualified personnel, including:
  - 1. Audiologists, licensed pursuant to N.J.S.A. 45:3B-1 through [24] 25;
  - 2.-13. (No change.)
  - 14. Psychologists, licensed pursuant to N.J.S.A. 45:14B-1 through [46] 49;
  - 15.–20. (No change.)

8:17-15.4 Paraprofessionals and assistants

(a) Minimum qualifications of various paraprofessionals and assistants are as follows:

1. (No change.)

2. An occupational therapy assistant shall meet the licensure requirements [in] at

N.J.S.A. 45:9-37**.62**;

3. A physical therapy assistant shall meet the licensure requirements [in] **at** N.J.S.A. [45:1-14 et seq.] **45:9-37.11 through 45:9-37.34f**; and

- 4. (No change.)
- (b) (No change.)

# SUBCHAPTER 16. CENTRAL DIRECTORY AND PUBLIC AWARENESS

8:17-16.1 Central directory

(a) The central directory for New Jersey, available on the [Department of Human

Services] Department of Health, Parent Link website at

[http://www.state.nj.us/humanservices/dds/publications.html]

https://www.state.nj.us/njparentlink/about/eccs.html.

1.-3. (No change.)

8:17-16.2 Public awareness

(a)-(c) (No change.)

(d) Information on NJEIS can be obtained by contacting the NJEIS, or through the Department's website, [at] available at [<u>http://www.nj.gov/health/fhs/eis/index.shtml]</u>

https://nj.gov/health/fhs/eis/index.shtml.

(Agency Note: N.J.A.C. 8:17 Appendices A, B, C, D, and F follow without symbolization indicating changes from the existing appendices. Any portions of the appendices that are bolded are intended to be so permanently.)

APPENDIX A

### NEW JERSEY DEPARTMENT OF HEALTH NEW JERSEY EARLY INTERVENTION SYSTEM (NJEIS)

#### FAMILY COST PARTICIPATION INCOME DOCUMENTATION

Service Coord	lame	County			Effective Begin Date				
Parent/Guardi	Name	Parent/Guardian First Name			Phone Number				
Parent/Guardian Last Name			Parent	/Guardian Fir	st Name	Phone Nu	mber		
Street Addres	s:								
City State Zip Code									
Child #	Child's	Last Name		Child's First	Name	Middle Na	me	Date of Birth	
Child #	Child's	Last Name		Child's First	Name	Middle Na	me	Date of Birth	
Family Membe	er living v	with or contribu	ting to I	household inc	ome:				
Head of Ho Head of Ho		-		Household Me r FCP- Contrib	mber Financially R	esponsible		hold Member CP - Noncontrib	
State Tax Year:		,	ourc						
	me change	es since your last ta	x return?						
				porting Docur	mentation (W-2)				
If a joint ret	um was				d below. This infor ividual records if jo		uld only be e	ntered once,	
Compa	nv			entation	Incom		Incom	Income Changed	
						~			
Comments									
			ŀ	Additional Typ	es of Income				
Total Annual H	ousehold	Income:	T		and Deductions				
lf a	joint tax		this info	ormation shoul	d only be entered records if jointly fi		ord in the sys	tem	
Income									
Taxable Interes	st Income	2							
Dividends									
Net Profits from									
		tion of Property							
		d IRA Withdrawa		oral 1040 must	t be submitted to D		_		
		rtnership Incom		erar ro-to mus	t be submitted to b				
		S Corporation In							
		m Rents, Royal		ents and Copy	rights				
Net Gambling									
Alimony and Se	eparate N	Maintenance Pay	/ments F	Received					
Other (Prizes, Awards, Estates, Trusts, Scholarships, Fellowships & Residential Allowance)									
Unemployment and Workman's Comp., Strike Benefits from Union Funds (Federal 1040 Line 19)									
Social Security	Benefits	, Public Assistar	nce, Sup	plemental Sec	urity Income (Fed.	1040 Line 2	20b)		
Deductions to	Deductions to Income								
Alimony & sepa	arate mai	intenance paid o	out of the	e household to	another household	d			
NET TAXABLE Comments	INCOM	E AND DEDUC	TIONS						

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### NEW JERSEY DEPARTMENT OF HEALTH NEW JERSEY EARLY INTERVENTION SYSTEM (NJEIS)

#### FAMILY COST PARTICIPATION INCOME DOCUMENTATION

Child Support Income / Paid to the household from another household							
Child Support Type	Frequency	Date Child Support Agreement	Amount				
	Total An	nual Child Support Income:					
Child Support	Child Support Expenses / Paid out of the household to another household						
Child Support Type	Child Support Type Frequency Date Child Support Agreement						
Total Annual Child Support Expenses:							
Comments:							

Family Member living with or contribu			
Head of Household Primary	Non-Household Member Financially Re	Household Member	
Head of Household Secondary	Other FCP - Contrib		Other FCP - Noncontrib
State Tax Year:			
Did you have income changes since your last	ax retum?		
	Supporting Documentation (W-2)		
	es W2s should be listed below. This infor		only be entered once,
	stem and not in the individual records if j	ointly filed.	
Comments			
	Additional Types of Income		
Total Annual Household Income:			
	Taxable Income and Deductions	_	
	d this information should only be entered		I in the system
	nd not in the individual records if jointly fi	led	
Income			
Taxable Interest Income			_
Dividends Net Profits from Business			_
Net Profits from Business Net Gains from Disposition of Property			_
Pensions, Annuities, and IRA Withdraw			_
	vai yed (Federal 1040 must be submitted to [		_
Distributive Share of Partnership Incom		JOH/NJEIJ	
Net Pro Rata Share of S Corporation I			
Net Gains or Income from Rents, Roya			-
Net Gambling Winnings			-
Alimony and Separate Maintenance Pa	vments Received		
	Scholarships, Fellowships & Residential	Allowance)	
Upemployment and Workman's Comp	, Strike Benefits from Union Funds (Feder	ral 1040 Line 1	0)
1.7	nce, Supplemental Security Income (Fed		1
	ince, Supplemental Security Income (Fed.	. 1040 Line 20	0)
Deductions to Income			
Alimony & separate maintenance paid	out of the household to another household	d	
NET TAXABLE INCOME AND DEDUC	TIONS		
Comments			

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#### NEW JERSEY DEPARTMENT OF HEALTH NEW JERSEY EARLY INTERVENTION SYSTEM (NJEIS)

#### FAMILY COST PARTICIPATION INCOME DOCUMENTATION

Child Support Income / Paid to the household from another household									
Child Support Type	Child Support Type Frequency Date Child Support Agreement								
Total Annual Child Support Income:									
Child Support Expenses / Paid out of the household to another household									
Child Support Type	Frequency	Date Child Support Agreement	Amount						
	Total Annual Child Support Expenses:								
Comments:									
Net Family Income:									

Family Size	Income	Co-Pay	Monthly Max		

My signature below verifies that my rights and responsibilities relating to the New Jersey Early Intervention System (NJEIS) and payment of services have been explained to me. I acknowledge receiving a copy of the NJEIS Family Cost Participation Handbook or have agreed to obtain a copy through the Department's website located at: <u>http://nj.gov/health/fhs/eis/documents/payment\_cost\_policies\_2012.pdf</u>. I agree to notify my service coordinator of any changes in the financial information used to determine a family cost participation for early intervention services for my child. I also understand that I should contact my service coordinator if, at any time, I have questions or concerns about family cost participation or the cost of early intervention services. I have the right to file an administrative complaint, request mediation, and/or initiate a due process hearing if disagreements regarding my family's cost participation cannot be resolved at the local level.

I attest under penalties of law that the income reflected on the income tax documents and on the NJEIS income documentation form are accurate and true to the best of my knowledge and that any willful, false, misrepresentation or concealment of material fact regarding my household income may result in: termination from; suspension from; request for reimbursement for any NJEIS services rendered; and/or prosecution under applicable Federal and State laws, or both.

I further attest under penalties of law that I have provided all requested tax documents in my possession to the NJEIS and that I am not willfully, falsely, purposefully concealing, or withholding any income tax documents that have been requested from me to determine my household income and FCP. I fully acknowledge and understand that any said misrepresentation by me may result in: termination from; suspension from; request for reimbursement for any NJEIS services rendered; and/or prosecution under applicable Federal and State laws, or both.

Name Print	Parent/Guardian Signature	Date
Name Print	Parent/Guardian Signature	Date

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## APPENDIX B

#### New Jersey Department of Health

New Jersey Early Intervention System (NJEIS)

#### Family Cost Participation Payment Options

Service Coordinator Nam	County	County			Effective Begin Date	
Parent/Guardian Name	Relationship	FCP Role	Street	Address		Phone Number
ParenuGuardian Name	Relationship	CP Role	CP Role Street Address			Phone Number
Child ID#	Child Name		D	ate of Birth		
Child ID#	Child Name			Date of Birth		

New Annual Renewal Modification - Family Size Modification - Income Modification - Payment Option NJEIS income determination shall be made after the service coordinator and parent review and complete income ver ification form(s) for each appropriate household member based on income tax documentation the family has provided. Families will receive a written Family Cost Notice Identifying the co-payment responsibility.

#### FAMILY PARTICIPATION OPTIONS

Please check and initial your choice regarding your family's interest in participating in the NJEIS system of payment for early intervention services. I understand that my signature is required on an individualized Family Service Plan (IFSP) to initiate acceptance of a family cost participation and that I must accept or decline early intervention services, subject to cost participation, at the IFSP meeting.

Full Fee I choose not to release or update my financial information, and understand that I will be billed for the actual cost services agreed to and provided in accordance with my individualized Family Service Plan (IFSP).

Public Expense Services I choose not to release my financial information and understand that I will participate in the IFSP process and receive service coordination, evaluation/assessment, IFSP development/review and procedural safeguards at public expe ise. I understand that by choosing this option, services subject to a family cost participation will not be available through the NJEI8

NJEIS Family Cost Particip NJEI8 Family Cost Participation I am interested in a family cost participation in accordance with the NJEI8 Family Co Participation Handbook. I have chosen to provide income information for my family as documented on the Family Cost Participation Income Verification Form. I understand that:

If a change occurs in my financial position, it is my responsibility to notity my service coordinator to request a review to determine a new family cost participation and that adjustments in family income are not retroactive. Outstanding balances prior to the adjustment will not be affected.

My family's cost participation payments over 60 days past due will result in the suspension of the direct early Intervention services subject to family cost participation which does not include the services provided at public expense (service coordination, evaluation/assessment, IFSP review/development and procedural safeguards).

I may request a review of my household income to determine if I quality for an adjustment based on extraordi- nary expenses by submitting an "Application for income Adjustment" to the DOH-NJES.

If there is a household member that is self-employed, I may be subject to an interim family cost participation determination to initiate early intervention direct services. However, upon review by the DOH-NUEIS of the selfemployed family member(s) income documentation, including his/her/their most current tax return, my family cost participation is subject to change.

Prior to using my child's Medicaid benefits to pay for these services, NJEIS is providing me written notification that My parental consent must be obtained before the NJEIS discloses, for billing purposes, my child's personally identifiable information to the State public agency responsible for the administration of the state's public benefits or insurance program (e.g., Medicaid);

2. If I had not consented to the use of Medicaid reimbursement as a part of my application for Medicaid benefits, DOH-NJEIS

2. If had not conserved to the use of interaction reimburkement as a part of my application for Medicatio benefits, DOFNACES would still made available those Part C. services on the IFSP for which 1 have provided con-sent;
3. I have the right to withdraw my consent to disclosure of personally-identifiable information to the State. public agency responsible for the administration of the State's public benefits or insurance program (e.g., Medicaid) at any time; and
4. There are no costs with participating in the NJ Medicaid program (such as co-payments or deductibies, or the required use of private insurance as the primary insurance).

My signature below verifies that my rights and responsibilities relating to the New Jersey Early Intervention Sys- tem (NJEIS) and payment of services have been explained to me. I acknowledge receiving a copy of the NJEIS Family Cost Participation Handbook or have agreed to obtain a copy through the Department's website located at: http://ni.gov/health/ths/els/documents/payment\_cost\_policies\_2012.pdf.

I agree to notify my service coordinator of any changes in the financial information used to determine a family cost participation for early intervention services for my child. I also understand that i should contact my service coordinator if, at any time, I have questions or concerns about family cost participation or the cost of early intervention services. I have the right to file an administrative compliant, request mediation, and/or initiate a due process hearing if disagreements regarding my family's cost participation can- not be resolved at the local level.

	Parent/Guardian Signature	Date
Name Print	Parent/Guardian Signature	Date
F110 37		

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# APPENDIX C

#### New Jersey Department of Health New Jersey Early Intervention System (NJEIS) P.O. Box 364 Trenton, NJ 08625-0364

### FAMILY COST PARTICIPATION APPLICATION FOR INCOME ADJUSTMENT

Instruction: Mail completed application form to the address listed above.

Name of Applicant	Child's Last Name	Child's First Name MI
Street Address	Birthdate	Child ID Number
City State Zip Code	Service Coordinator's Nan	ne
	Amount	Description of Enclosed Documentation
EIS Determined Household Income		NJEIS Family Cost Share Documents
Allowable Unreimbursed Extraordinary Expenses		
Medical		
Insurance Deductible/Co-Payments/El Services		
Child Care		
Accommodation		
Other		
Total Allowable Extraordinary Expenses		

The information above is accurate to the best of my knowledge.

Signature of Parent	Date

FOR STATE USE ONLY						
This application and enclosed documentation were reviewed and:						
Approved as Submitted.						
Not Approved – Reason(s) not approved:						
Approved with changes - Changes:						
If approved, revised EIS Household Income:						
State Representative Signature Date						
If you disagree with the decision, you may request an administrative review of this decision by writing, within 30 days, to the EIS Financial Officer at the address listed above.						
rinandal Officerat the address listed above.						

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# APPENDIX D

#### New Jersey Department of Health New Jersey Early Intervention System P.O. Box 364 Trenton, NJ 08625-0364

### INDIVIDUALIZED FAMILY SERVICE PLAN (IFSP)

Child's Last Name	Child's First N		MI	DOB		IFSP Start Date	
Child ID				Gender		Referral Date	
Type of IFSP							
Service Coordinator Name	County		Telephone #			Email Address	
Parent's Last Name			Parent	s First Na	me	•	
Street Address		City				State	Zip Code
Telephone Number	Email Address	5		School I	District		
Parent's Last Name	•		Parent	's First N	ame		
Street Address		City	•			State	Zip Code
Telephone Number	Email Address	5		School I	District		•
Primary Language Spoken in the Home							
Other Languages Spoken in the Home				Interpreter Needed			
Written Transla							
The Mission of the New Jersey Early Intervention System (NJEIS) is to provide quality early support and ser-							
vices to enhance the capacity of families to meet the developmental and health related needs of children birth							
to age three who have delays and/or disabilities.							

#### Information About Child's Status

BDI-2 Evaluation Information							
Developmental Domain	Domain Score (100 is	Z Score (0.0 is Average)	Raw Score (RS)				
	Average)						
Adaptive							
Social/Emotional							
Communication							
Gross Motor							
Fine Motor							
Cognitive							

Vision Status

Hearing Status

Health and Medical Status

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### Family's Concerns, Priorities, and Resources

Child's Last Name	Child's First Name MI		DOB	IFSP Start	Date
Service Coordinator Name	e IFSP Start Dat	e	Family Inform	ation Meetin	ig Date
Routine Challenge	Family Concerns Identified as a Priority	Effective Strategies Resources Used by Family Caregiver to Address the Priorit	the Change f Would Li Belated f	the Family ike to See to Routine	Means to Address the Priority

#### Measurable Child Outcome

A Child Measurable/Functional Outcome must identify the skill or behavior we want a	Date:
child to demonstrate; during routines in their day; and include how it will be known when	
the child has successfully developed the skill or behavior.	

## Measurable Family Outcome OTHER NON-REQUIRED SERVICES

# Other Non-Required Services - Receiving

Identify below any medical and other services that the	Describe the steps the service coordinator or family may
child or family is receiving through other sources, but that	take to assist the child and family in securing these other
are neither required nor funded under NJEIS.	services.

## Other Non-Required Services - Needed

Identify below any medical and other services that the	Describe the steps the service coordinator or family may
child or family needs through other sources, but that are	take to assis t the child and family in securing these other
neither required nor funded under NJEIS.	services.

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Child's Last Name	Child's First Name	МІ	DOB	IFSP Start Date			
Early Intervention Services							
Early Intervention Service							
Other Supports:							
Duration: Projected (MM/DD/YYYY)	Method	Intensity		Payment Arrangements			
Start Date:							
End Date:							
Length of service time:		requency (#sess					
Location - Inclusive Natural E	Environment l	ocation - Not a N	latural Environr	nent			
Decline Service: I choose to decline this service as described above from NJEIS and acknowledge agreement as a team member that it is identified as a needed service. I understand that I am: (1) refusing this service as described above for reason(s) chosen below; (2) able to contact my service coordinator should I change my mind; and (3) not jeopardizing any other NJEIS early intervention services by declining this service as described above.							
Discontinue Service: I choose to discontinue this current service from the NJEIS for the reason(s) listed below effective on REASONS:							

Parent Signature

Date

Additional Information:

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Child's Last Name	Child's First Name	MI	DOB	IFSP Start Date

## Transition Planning

County	Service Coordinator's Name	
Transition Steps from Part C Early Interventi support smooth transition of your child from and/or other appropriate services. Transition at least 25 months of age) The steps include:	early intervention to preschool se Steps/Discussion occur at the IF	ervices under Part B of IDEA
I. How would your family like to discuss and		Date Discussed
garding future options and other matters rela	-	Date Discussed
Attend a transition workshop offered by the E		Person Responsible
Meet with the service coordinator or a design Handbook.	nee to review the NJEIS Transition	Comments/Discussion
Telephone contact to discuss the NJEIS Tra coordinator, service coordinate associate or Receive the NJEIS Transition Handbook thro Obtain the NJEIS Transition Handbook throu Other - Refer to paper	r Family Support Coordinator. ough the mail.	
II. What are the potential options you would i when your child turns age three? (check all Private/Community Preschool Program		Date Discussed Person Responsible
Part B Preschool Special Education Head Start School District Early Childhood Program		Comments/Discussion
Community Programs (YMCA, Library) County SCHS Case Management		
Child Care Program Private Therapy (OT, PT, ST)		
Other Specify - Refer to paper III. Opt-Out Discussion - LEA Notification/Ref	ferral	Date Discussed
Yes - No evaluation (Parent declined Opt-ou Yes - Evaluation needed (Parent declined O	rt)	Person Responsible
No - Parent declines (Parent Chose Opt-Ou Other - Parent has 10 days to return this for	t & signed NJEIS Form-015)	Comments/Discussion

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IV. Transition Planning Conference (TPC): A meeting to discuss any services your child may receive from your local school district under Part B of IDEA and/or other appropriate services that your child may receive after exiting the NJEIS.					
A. With your approval, a TPC will be convened at least 90 days before your NJEIS service coordinator and EIP practitioners, your family and the local s of other appropriate services for your child. Agree to TPC Declined TPC	school district and/or providers				
B. The following have been approved by you to invite as a TPC participant:     School District     Child Care     Head Start     Preschool Program     EIP Practitioners     Other - Refer to paper					
C. Your informed written consent is required to send or share your family and child's early intervention information (recent evaluation, assessments and IFSP) to ensure continuity of services to the local school district or designated community program.	Date Discussed Person Responsible				
	Discussed with Parents				
V. Identification of transition services and other activities that the IFSP team de support the transition of your child and family.					
A. What are your priorities and concerns related to transition for your child and family?	Date Discussed Person Responsible				
	Comments/Discussion				
B. List below early intervention outcomes, strategies, activities or services that are needed to prepare and help your child and family to	Date Discussed				
adjust to and function in a new program/setting. Things to think about include but not limited to: (a) visiting a new program,(b) meeting with program staff prior to the child's first day, (c) teaching your child about activities and routines that they may encounter in a new setting (peer interaction, circle time, snacks, table top activities, playground) and (d) discussing transportation arrangements (need for an aide, specialized	Person Responsible Comments/Discussion				
transport, help getting on and off the bus/van).					
	The IFSP Team identified no changes to the IFSP outcomes, strategies, activities or services were needed or requested by the family.				

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## (Parental Opt-Out of Part B Notification/Referral)

Child's Last Name	Child's First N	lame	DOB		Child ID
Service Coordinator Name	•	Telephone #		Fax #	
Parental Opt-Out of Part B Notificati	ion/Referral was	discussed and provide	ed to the	parent	of the child listed
above on:					
NJEIS is required by Part C of the fede					
Department of Education, Office of Spe					
eligibility for special education preschool					
and must occur not fewer than 90 calen				l's third	birthday.
The notification must provide the fol	lowing persona	lly identifiable informati	ion:		
Child's Name;					
<ul> <li>Child's Date of Birth;</li> </ul>					
<ul> <li>Parent(s) Name; and</li> <li>Parent contact information</li> </ul>					
New Jersey offers parents the oppor	tunity to "ont o	ut" of this notification			
By signing this document you are reque			ation to N	LOSE	or your local school
district. If this "NJEIS Parental Opt-Out					
Coordinator within ten (10) calendar da					
NJ-OSE and your local school district.	ys of the date list	ica above, your minica o			and the sent to the
If you sign this form to "opt-out" of notif	ication and refer	ral, and subsequently wa	nt to expl	ore the	possibility of special
education and related services for your					
school district.				-	-
Parent's Name (print)					
Parent Signature confirming decision	n to "opt-out" o	f notification/referral to	NJ-OSE	and	Date
the local school district.					

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Child's Last Name	Child's First Name	MI	DOB	IFSP Start Date

### IFSP TEAM/PARENTAL CONSENT

Team Activity: Activity Date:						
Participation Codes			1	Location of Team Meetin	a	
A = Attended & authorized for billing by the location of the activity		rity				
T = Telephone confere	nce call authoriz	ed for billing at service p	rovider			
location						
R = Submitted written	report/recommer	dations-Not authorized	for			
billing						
V = Video conference	authorized for bil	lling at service provider I	ocation			
P = Participated in me	eting-Not authori	zed for billing				
IFSP Team Contributors: IFSP Meetings must include the parent(s), oth advocate or person outside the family if requested by the parent, the conducting evaluations and assessments, and persons who will be providing set			nt, the se	rvice coordinator, person(s)		
Participant	Role	Specialty	Agency	Signature	Code	Time
						In/Out

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Child's Last Name	Child's First Name	MI	DOB	IFSP Start Date

Parent Consent for the provision of early intervention services in accordance with:

Individualized Family Service Plan (IFSP) Start Date:

I have received information about family rights in early intervention, both verbally and in writing. I give consent for my child/family to receive services listed in this IFSP except where specifically declined on individual services pages. "Consent" means that I have been fully informed of all information about the activity(s) for which consent is sought in my native language (unless clearly not feasible to do so) or other mode of communication; that I understand and agree in writing to the carrying out of the activity(s).

I understand that:

(1) this written consent is voluntary and may be revoked in writing at any time;

(2) I may decline or discontinue a service or services without jeopardizing any other NJEIS service(s) my child and family receives;

 (3) services may be subject to family cost participation under the NJEIS System of payments for services;
 (4) NJEIS approved personnel involved in developing and implementing this IFSP will share information, both verbally and in writing, only to the extent that it relates to the implementation of the IFSP.

Parent Signature

Date

End Date:

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APPENDIX F

#### New Jersey Department of Health EARLY INTERVENTION PROCEDURAL SAFEGUARDS OFFICE P. O. Box 364 Trenton, NJ 08625-0364 Telephone (Toll Free): 877-258-6585 Fax: 609-292-0296

#### Fax: 603-232-0236

## FORMAL DISPUTE RESOLUTION REQUEST

Name of Individual/Organization Filing Con	mplaint		Date	
Address				
City		State		Zip Code
Child's Name (if applicable)			Child's Date	e of Birth (if applicable)
Telephone Number(s)	Fax Number(s)		Email Addre	ess (optional)
This form documents the option sele provide the information requested or address listed above. Parents ma Coordination Unit, Regional Early Ir Jersey Early Intervention System (NJ resolution can be found at: <u>http://nj.g</u>	n this form, sign, date, and re ay request assistance in co ntervention Collaborative, and EIS) Family Rights document	turn it to the mpleting thi l/or the Proc and a brief d	Procedural is form by cedural Safe	Safeguards Office at the contacting their Service guards Office. The New
F	ORMAL DISPUTE RESOLUTI		(S)	
Mediation Only				
Due Process Hearing (request mus Check here if you initially want t		-		
Administrative Complaint (request r	-		-	)
Check here if you plan to have repr incurred.	esentation by counsel. The le	ad agency is	not liable for	any attorney fees

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ZATION	ISPUTE FILED AGAINS	
	State	Zip Code
Email A	ddress (optional)	
•		
F DISAGR	EEMENT	
assessm	ent, eligibility determinatio	n, placement of the child,
	DISAGR	Email Address (optional) Email Address (optional) EDISAGREEMENT ment under the Part C New Jersey assessment, eligibility determination the child and/or family. Be as speci

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FACTS SUPPORTING STATEMENT OF DISAGREEMENT
Please provide a written description of the facts supporting your statement of disagreement and identify any pertinent information (such as, IFSPs, written correspondence, evaluations/assessments) that may verify your concerns. Be as specifi as possible.
SOLUTION(S) TO AREA(S) OF CONCERN
Please provide a proposed resolution(s) which would address your area(s) of concern. You may submit additional information either orally or in writing about your concerns. Be as specific as possible.
***I understand that the party filing the complaint must forward a copy of the complaint to the public agency or the provider/organization at the same time the complaint is filed with the Procedural Safeguards Office.

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